PE 4008
PTO/SB/21 (04-04)

Undertre Paperwork Reduction Act of 1995, no pe	U.S. Pa rsons are required to respond to a collecti	ion of information unless it displays a valid OMB control number.	
TRANSMITTAL	Application Number	10/551,831	
	Filing Date	Oct. 3, 2005	
FORM	First Named Inventor	Jun IZUMI	
(to be used for all correspondence after initial filing)	Art Unit	1711	
	Examiner Name	Olga Asinovsky	
Total Number of Pages in This Submission	Attorney Docket Number	1217-052758	

Approved for use through 07/31/2006. OMB 0651-0031

ENCLOSURES (Check all that apply)						
☐ Fee Transmi			Drawing(s) Licensing-related Papers	_	After Allowance communication to Technology Center (TC)	
☐ Fee Attac			Petition		Appeal Communication to Board of Appeals and Interferences	
☐ After Fina	ıl	0	Petition to Convert to a Provisional Application	o	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
	declaration(s) of Time Request	0	Power of Attorney, Revocation Change of Correspondence Address		Proprietary Information	
	andonment Request	0	Terminal Disclaimer	0	Status Letter	
☑ Information	mation Disclosure Statement		Request for Refund		Other Enclosure(s) (please identify below)	
<ul> <li>□ Certified Copy of Priority Documents</li> <li>□ Response to Missing Parts/Incomplete Application</li> <li>□ Response to Missing Parts under 37 CFR 1.52 or 1.53</li> </ul>			CD, Number of CD(s)_ marks			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Individual name	Kent E. Baldauf The Webb Law Firm	Á.				
Signature Suldant						
Date	Date August 8, 2006					
CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with						
sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on						

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Diane Paull

Signature

Date

08-08-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Appl. No. 10/551,831 QS dated August 8, 2006 Atterney Docket No. 1217-052758

AUG 1 4 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/551,831

Confirmation No. 3943

Applicants

Jun IZUMI et al.

Filed

October 3, 2005

Title

Modified Cycloolefin Copolymer, Process for Producing

the Same, and Use of the Polymer

**Art Unit** 

Examiner

Olga Asinovsky

Customer No.

28289

1711

## INFORMATION DISCLOSURE STATEMENT TRANSMITTED BEFORE MAILING OF FIRST OFFICE ACTION

MAIL STOP AMENDMENT **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby submit this Information Disclosure Statement together with a completed Form PTO/SB/08a.

Reference JP 5-255566 is cited on page 3 of the instant application and is equivalent to Canadian Patent No. 2,077,411. References JP 3-95286, JP 2000-298350, and JP 6-211937 are cited on pages 3 and 4 of the instant specification. JP 2000-298350 corresponds to U.S. Patent Nos. 6,562,554 and 6,124,074. JP 6-211937 corresponds to U.S. Patent No. 5,439,722. Copies of the foreign references are enclosed herewith.

This application is the United States national phase of PCT Application No. PCT/JP03/16588. The International Search Report dated April 20, 2004, for the underlying PCT application identified the remaining three foreign references listed on Form PTO/SB/08a. Reference WO 98-18837 corresponds to U.S. Patent Application Publication No. 2002-0103303. Copies of the three references cited in the International Search Report are submitted herewith. The degree of relevance for the non-English language references listed on Form PTO/SB/08a is indicated in the International Search Report. A copy of the International Search Report is enclosed for the Examiner's convenience.

Alexandria, Virginia 22313-1450 on August 8, 2006	Box 1450,
Diane Paull (Name of Person Mailing Docume	ent)
Signature	08/08/2006 Date

Appl. No. 10/551,831 IDS dated August 8, 2006 Attorney Docket No. 1217-052758

No fee is believed to be due for the filing of this Information Disclosure Statement as it is being submitted before a first Office Action on the merits. Nevertheless, the Commissioner for Patents is hereby authorized to charge any additional fees which may be required to Deposit Account No. 23-0650. This Information Disclosure Statement is submitted in duplicate.

Respectfully submitted,

THE WEBB LAW FIRM

Kent E. Baldauf

Registration No. 25,826 Attorney for Applicants

700 Koppers Building

436 Seventh Avenue

Pittsburgh, PA 15219

Telephone: 412-471-8815 Facsimile: 412-471-4094

PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form	1449A/PTO			Complete if Known		
INICODI	ATION		UDE	Application Number	10/551,831	
<del>-</del>	<del>-</del>	DISCLOS		Filing Date	Oct. 3, 2005	
STATEMENT BY APPLICANT			ANT	First Named Inventor	Jun IZUMI	
(Use	as many sheets as	s necessarv)		Art Unit	1711	
(555				Examiner Name	Olga Asinovsky	
Sheet	1	of	1	Attorney Docket Number	1217-052758	

					U.S. PATENT DO	OCUMENTS	
Examiner Initials*			- Kind Code <sup>2 (i</sup>		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number	- Kind Code \			<u> </u>	Figures Appear
		US-	2002/010330	03A1	08-01-2002	Kodemura	
		US-	5,439,722	B1	08-08-1995	Brekner et al.	
		US-	6,124,074	В1	09-26-2000	Varanasi et al.	
		US-	6,562,554	В1	05-13-2003	Varanasi et al.	•
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					

				FOREIG	N PATENT I	OCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Colurms, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
		JP(1993)	05-255566	Α	10-05-1993	Hoechst AG	Equiv. to Canadian patent	
		CA	2,077,411	A1	03-04-1993	Hoechst AG		
		JP(1991)	03-095286	Α	04-19-1991	Mitsui Petrochem Ind	English Abstract attached	
		JP(2000)	2000-298350	A <sub>.</sub>	10-24-2000	IBM	Equiv. US '554 and '074	
		JP(1994)	6-211937	Α	08-02-1994	Hoechst AG	Equiv. US patent '722	
		wo	98/18837	A1	05-07-1998	Nippon Zeon Co et al.	Equiv. US app '303	
		JP(1994)	6-172423	Α	06-21-1994	Idemitsu Kosan Co.	English Abstract attached	
		JP(1998)	10-007736	Α	01-13-1998	Mitsui Petrochem Ind	English Abstract attached	

Examiner	Date	
Signature	Considered	i

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.